

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

22

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

**09/936755**INTERNATIONAL APPLICATION NO.  
**PCT/JP00/04422**INTERNATIONAL FILING DATE  
**July 4, 2000**PRIORITY DATE CLAIMED  
**August 27, 1999**

## TITLE OF INVENTION

**ACIDIC PROTEIN FOODS, PROCESS FOR THEIR PRODUCTION AND STABILIZER**

## APPLICANT(S) FOR DO/EO/US

**Taro Takahashi, et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 20 below concern document(s) or information included:**

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold;">09/93675</div>	INTERNATIONAL APPLICATION NO. <div style="font-weight: bold;">PCT/JP00/04422</div>	ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold;">225-01</div>
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24. The following fees are submitted: <b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :</b>				CALCULATIONS PTO USE ONLY	
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <span style="float: right;">\$1000.00</span>					
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <span style="float: right;">\$860.00</span>					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <span style="float: right;">\$710.00</span>					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <span style="float: right;">\$690.00</span>					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <span style="float: right;">\$100.00</span>					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20. <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	7 - 20 =	0	x \$18.00	\$0.00	
Independent claims	4 - 3 =	1	x \$80.00	\$80.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$940.00	
<input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				\$0.00	
<b>SUBTOTAL =</b>				\$940.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00	
<b>TOTAL NATIONAL FEE =</b>				\$940.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/>				\$40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$980.00	
				Amount to be: refunded	\$
				charged	\$

- a. ☒ A check in the amount of \$940.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0750 A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

John F. McNulty, Esquire  
 Paul & Paul  
 2900 Two Thousand Market Street  
 Philadelphia, PA 19103

  
 \_\_\_\_\_  
 SIGNATURE  
 John F. McNulty  
 \_\_\_\_\_  
 NAME  
 23,028  
 \_\_\_\_\_  
 REGISTRATION NUMBER  
 September 17, 2001  
 \_\_\_\_\_  
 DATE

JC16 Rec'd PCT/PTO SEP 17 2001

**PATENT****IN THE UNITED STATES PATENT OFFICE**

Serial No.: Unassigned

Filed: Herewith

For: ACIDIC PROTEIN FOODS, PROCESS  
FOR THEIR PRODUCTION  
AND STABILIZER

Inventors: Taro Takahashi, et al.

Atty Doc. No.: 225-01

"EXPRESS MAIL" MAILING LABEL NO:  
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DATE OF DEPOSIT September 17, 2001  
I HEREBY CERTIFY THAT THIS PAPER IS  
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OFFICE TO ADDRESSEE" SERVICE UNDER  
37 C.F.R. § 1.10 ON THE DATE INDICATED  
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NAME OF PERSON MAILING PAPER OR FEE  
Nancy DeJesus

SIGNATURE: 

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***EXPRESS MAIL COVER LETTER***

BOX PCT  
Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

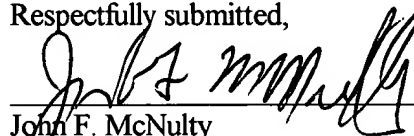
Enclosed herewith are the following:

- (1) Express Mail cover letter;
- (2) Specification (16) pages;
- (3) Claims (1 pages);
- (4) Abstract (1 page)
- (5) Declaration and Power of Attorney (4 pages);
- (6) Transmittal Form (duplicate);
- (7) Check in the amount of \$940.00 application filing fee;
- (8) Article 20 Communication;

- (9) International Publication WO 01/15540 A1;
- (10) International Search Report;
- (11) Information Concerning Elected Offices Notified Of Their Election;
- (12) Japanese Document No. H738-PCT (6 pages);
- (13) Japanese PCT Document (4 pages);
- (14) Assignment with PTO 1595;
- (15) Check in the amount of \$40.00;
- (16) Information Disclosure Statement with PTO form 1449;
- (17) Paul & Paul postcard to be returned by the PTO.

THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY ADDITIONAL FEES ASSOCIATED WITH THIS COMMUNICATION, OR CREDIT ANY OVERPAYMENT, TO PAUL & PAUL DEPOSIT ACCOUNT NO. 16-0750, ORDER NO. 0613

Respectfully submitted,



John F. McNulty

Reg. No. 23,028

Paul & Paul

2900 Two Thousand Market St.

Philadelphia, PA 19103

(215) 568-4900